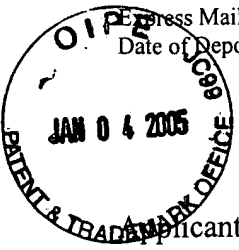


1-6-05

2152



Express Mail Label No.: EV 591066816US
Date of Deposit: January 4, 2005

Attorney Docket No.: 22369-001

[Handwritten signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tan-Na CHU, *et al.*
Application No. : 09/930,812
Filed : August 16, 2001
TC/A.U. : 2152
Examiner : Not Yet Assigned
Docket No. : 22369-001

Title : END-TO-END SECURE FILE TRANSFER METHOD AND
SYSTEM

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages),
2. Supplemental Modified Form 1449/PTO (1 page), in duplicate;
3. Copies of Cited References C3-C4; and
4. a Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/935-3000, New York, New York. A duplicate copy of this transmittal letter is enclosed.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. **50-0311** Ref. No. 22369-001, Customer No. 35437.

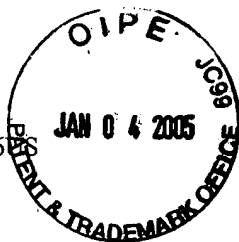
Respectfully submitted,

[Handwritten signature of Brian P. Hopkins]

Brian P. Hopkins, Reg. No. 42,669
Attorney for Applicants
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY AND POPEO, P.C.
The Chrysler Center
666 Third Avenue, 24th Floor
New York, New York 10017
Tel: (212) 935-3000
Fax: (212) 983-3115

Dated: January 4, 2005

Express Mail Label No.: EV 591066816
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the enclosed modified Form PTO-1449 (submitted in duplicate) in the above-identified application which were cited in an International Preliminary Examination Report, mailing date October 24, 2003, from a corresponding International patent application No. PCT/US01/25684. The order of presentation of the reference should not be construed as an indication of the importance of the reference.

This Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date and before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required. 37 C.F.R. §1.97.


A copy of each of the reference is enclosed unless otherwise indicated on the attached Form PTO-1449 (modified). It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the

patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. **50-0311**, Reference No. **22369-001**, Customer No. **35437**.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669
Attorney for Applicants
MINTZ, LEVIN, COHN FERRIS,
GLOVSKY AND POPEO, P.C.
The Chrysler Center
666 Third Avenue, 24th Floor
New York, New York 10017
Tel: (212) 935-3000
Fax: (212) 983-3115

Express Mail Label No. EV 591066816US
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Attorney Docket No.: 22369-001

Modified Form 1449/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 1	Application Number	09/930,812
	Filing Date	August 16, 2001
	First Named Inventor	Tan-Na CHU, et al.
	Group Art Unit	2152
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	22369-001

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

OTHER NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C3	International Preliminary Examination Report dated October 24, 2003 corresponding to PCT/US01/25684
	C4	http://web.archive.org/web/20000408223752/www.xdrive.com/company/faq.html , 8 April 2000

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, U.S.S.N. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.